

# Fleet Sizing for Fractional Ownership of Autonomous Vehicles

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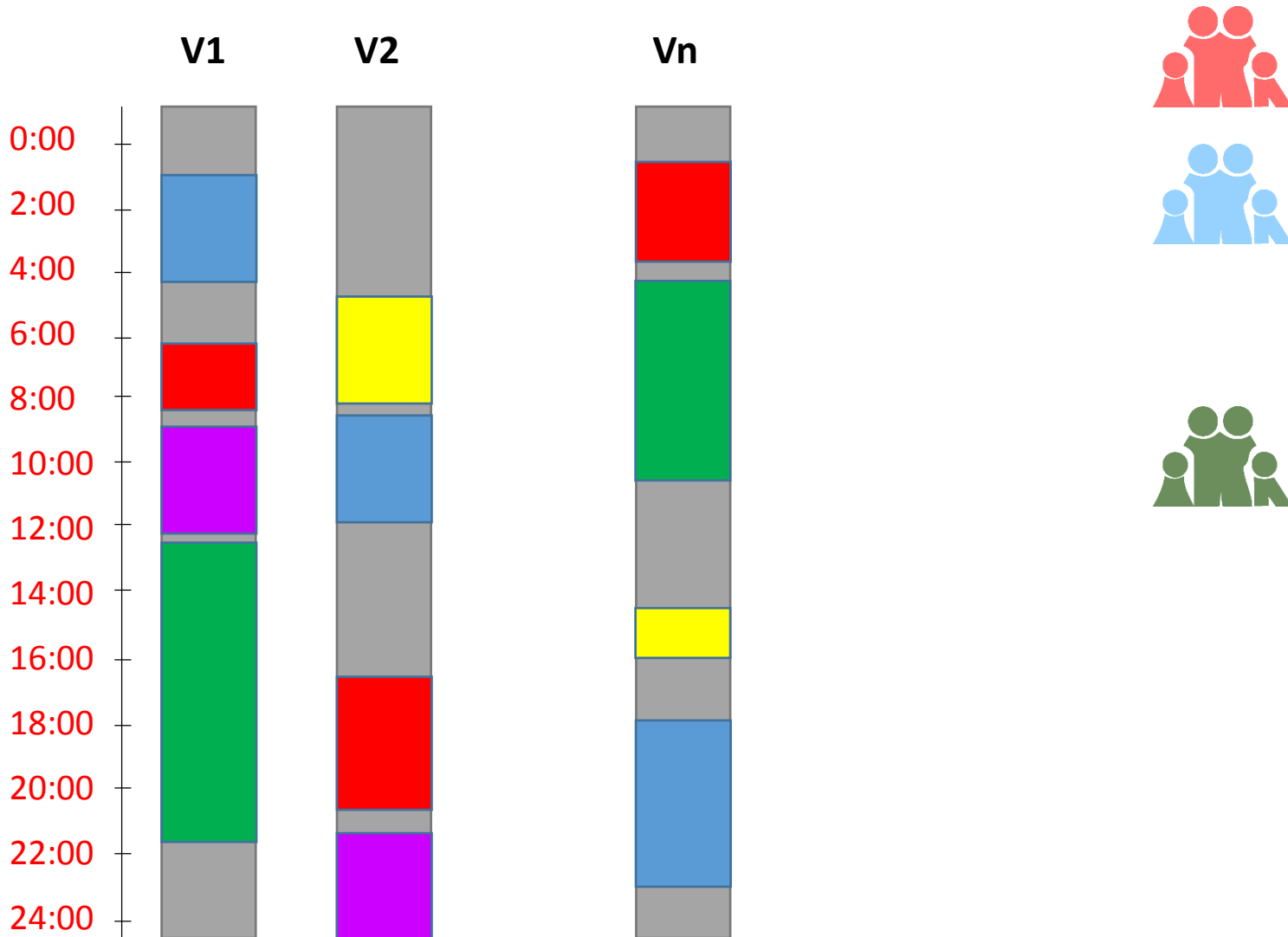
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## Emerging trends:

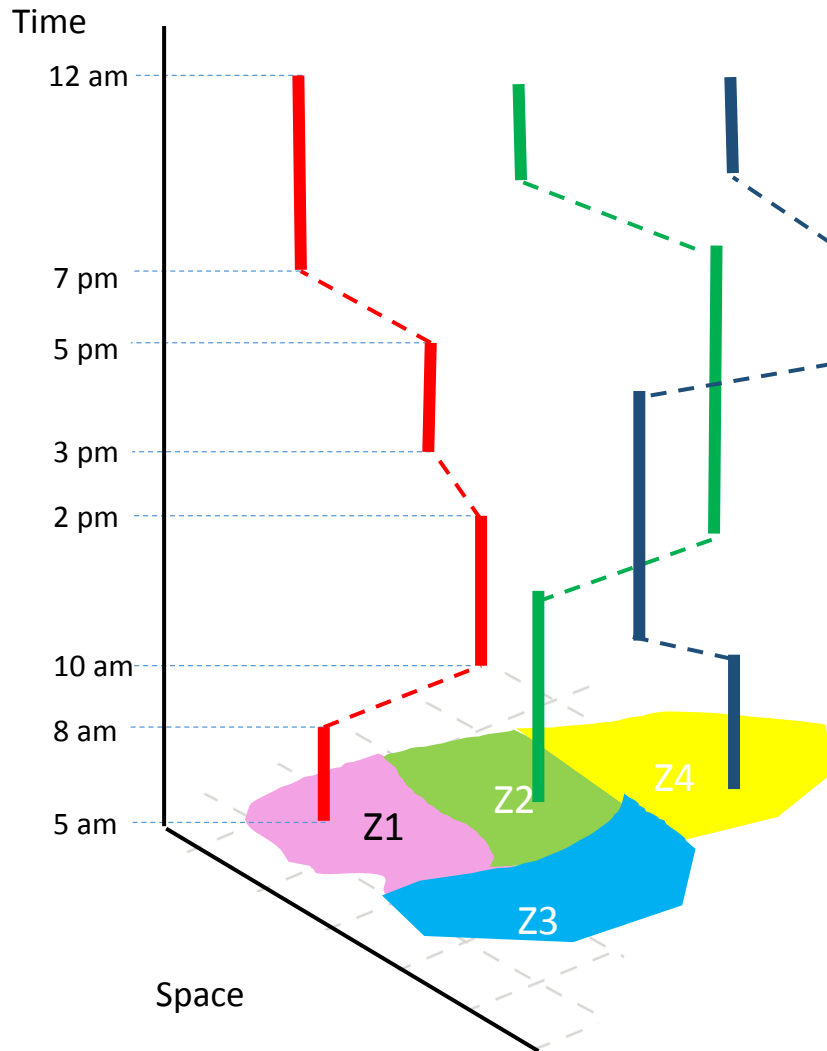
- Collaborative consumption
- Autonomous vehicles (AVs)

**Shared Ownership of Vehicles**

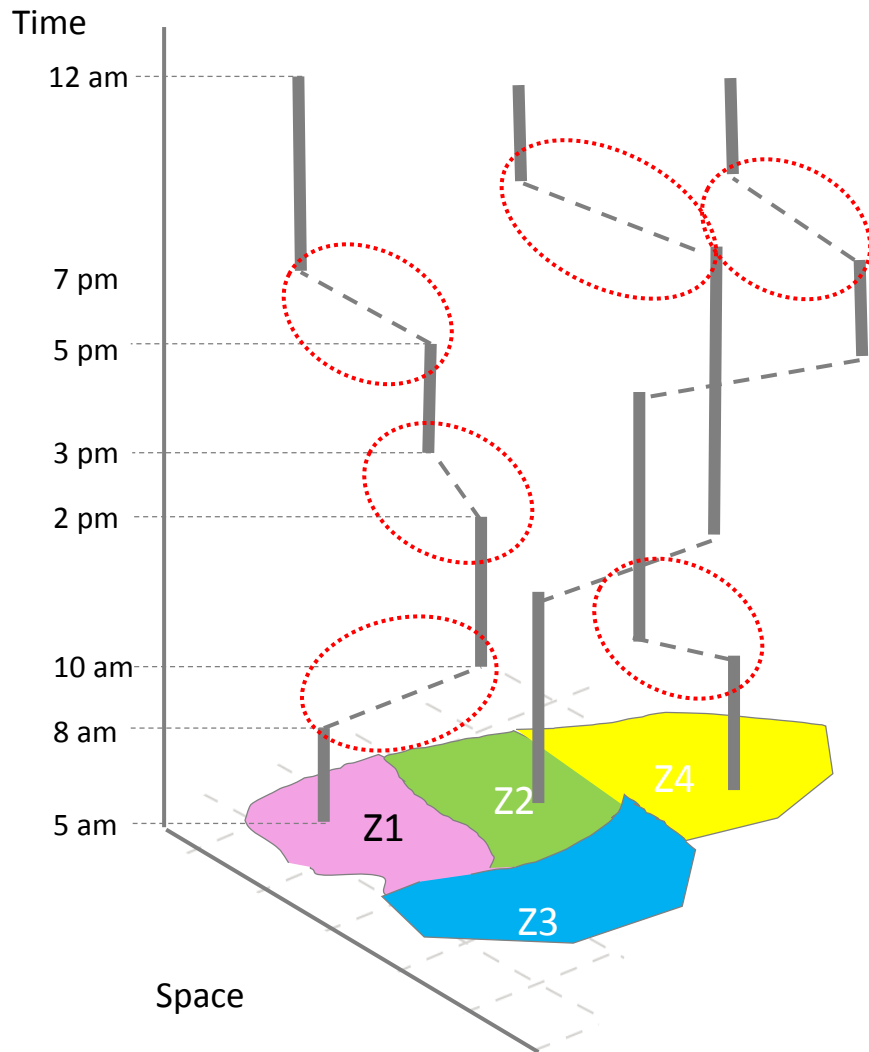
# Problem Statement:



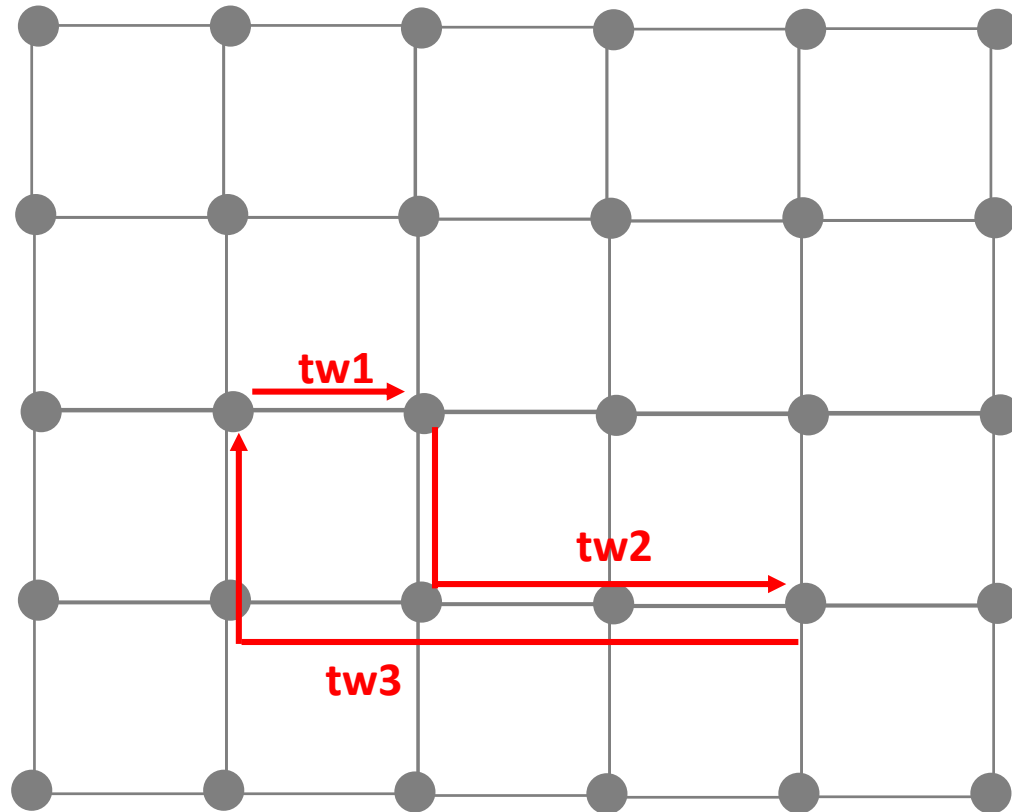
# Chains of activities:



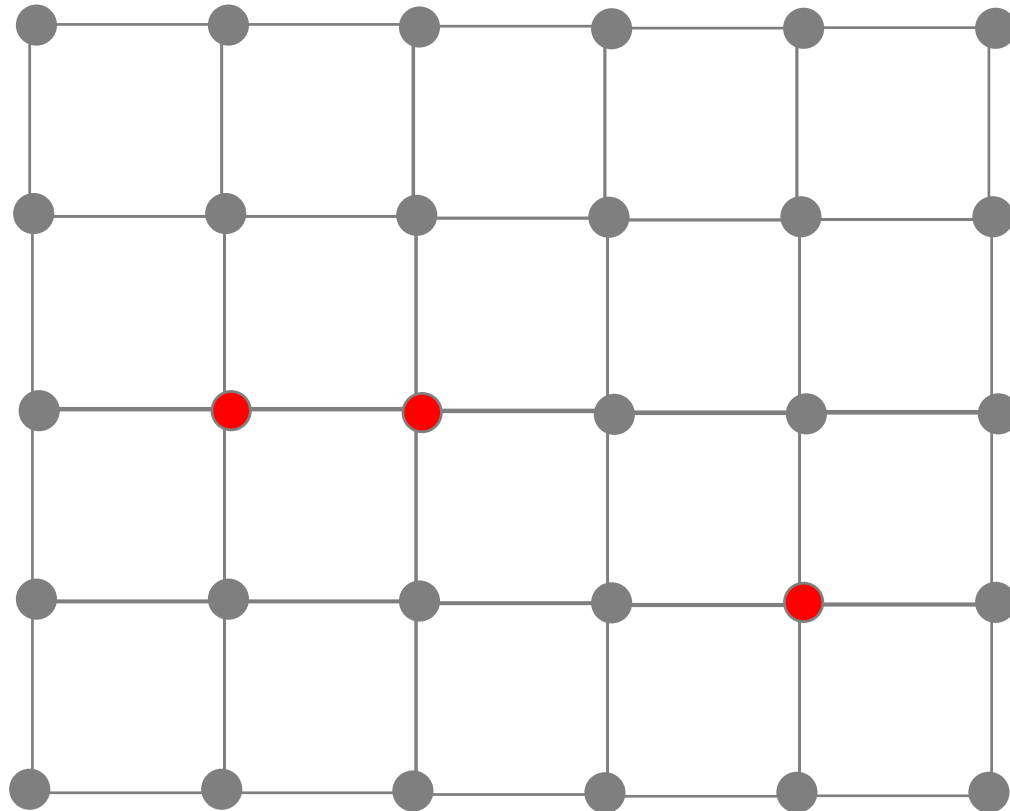
# Chains of activities:



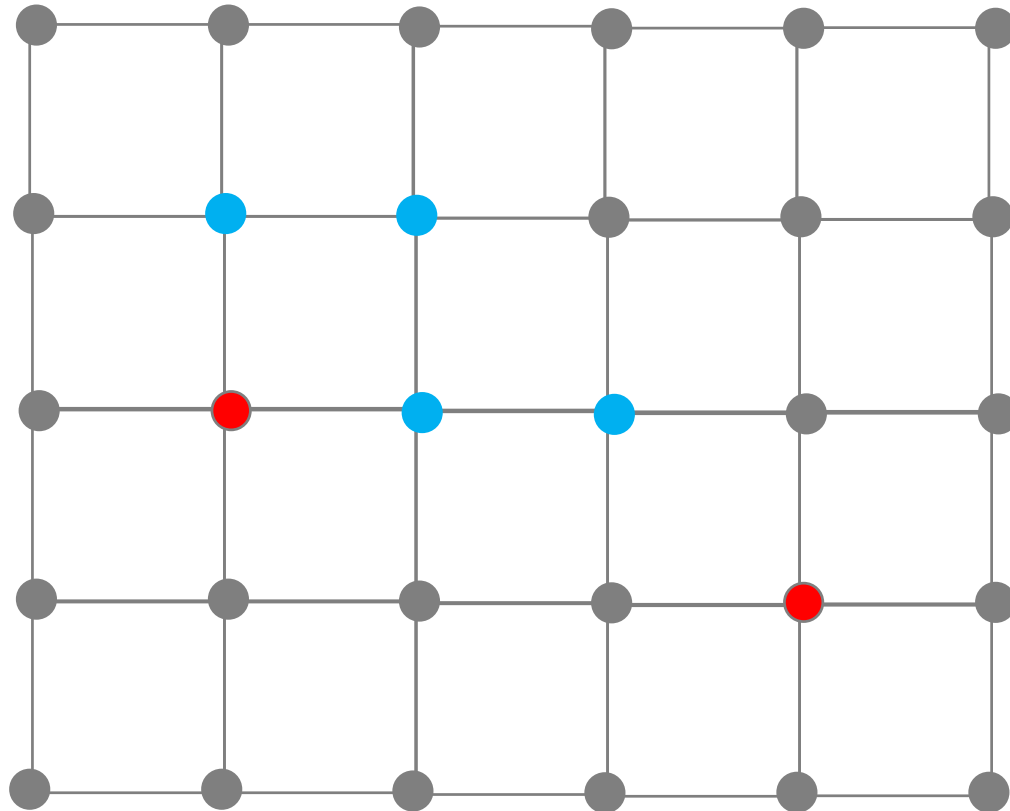
# Model formulation:



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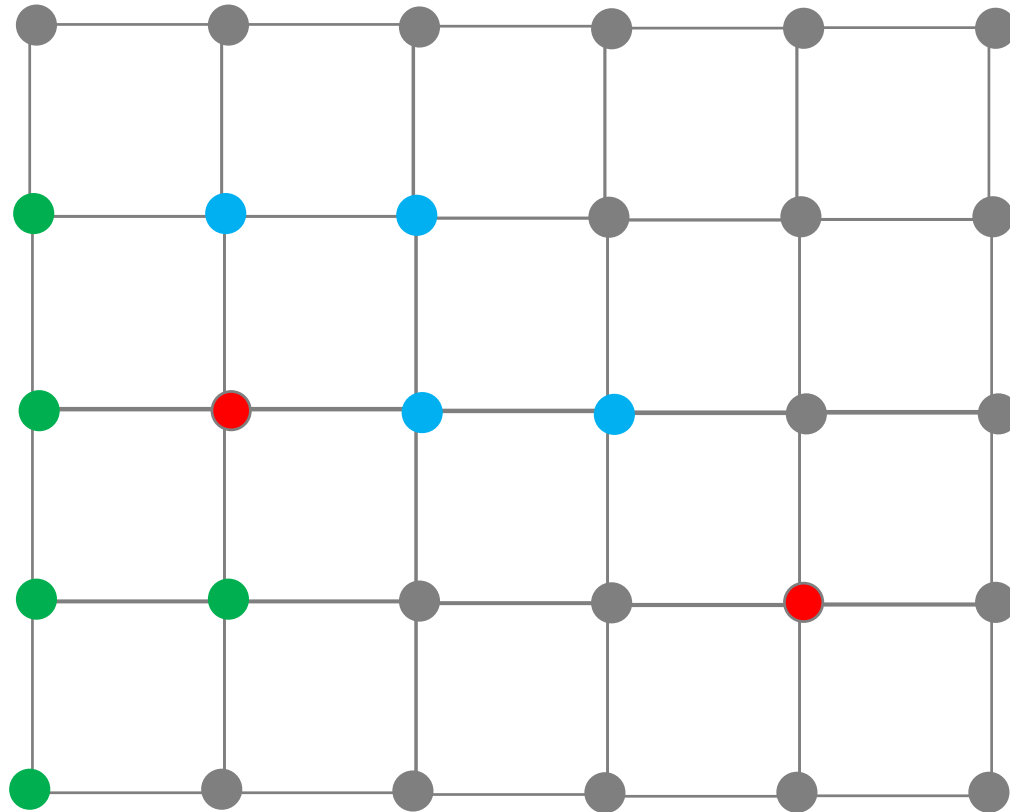


# Model formulation:

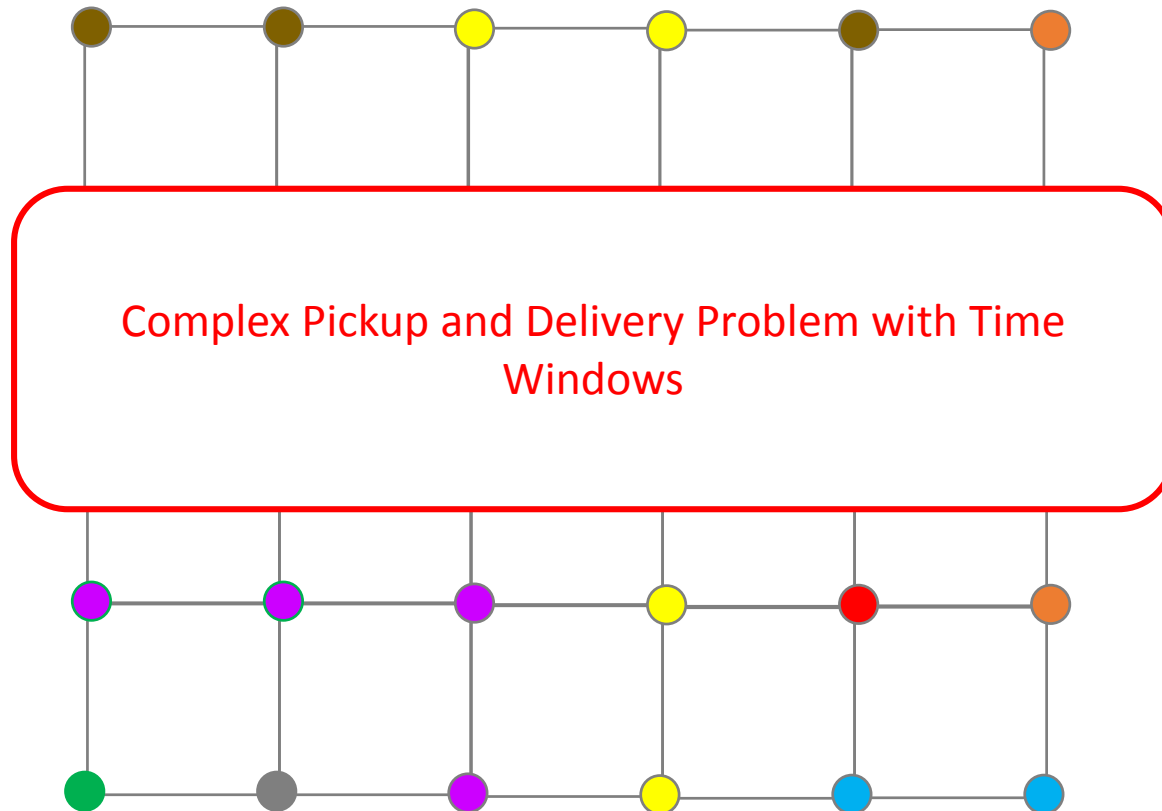




# Model formulation:



# Model formulation:



# Model formulation:

- Converting PDPTW to VRPTW:

- Assumptions: Users don't share rides

P1D1-P10D10-P5D5-....- PnDn

- Service time at every dummy node of VRP = travel time between pickup and drop off locations of PDP
- Travel time to dummy node in VRP = travel time to pickup node in PDP
- Travel time from dummy node in VRP = travel time from drop off node in PDP

# Model formulation:

- Converting PDPTW to VRPTW:
  - Assumptions: Users don't share rides  
P1D1-P10D10-P5D5-....- PnDn
  - Example:
    - pickup node: 2, drop off node: 5

Travel time		Destination Node					
		1	2	3	4	5	6
Origin Node	1		0.5	0.1	0.8	0.8	0.3
	2	1.0		0.3	1.1	0.8	0.2
	3	1.2	1.2		0.5	1.0	0.6
	4	0.8	1.5	0.7		1.4	1.1
	5	0.8	0.6	1.2	0.9		0.6

Travel time for dummy node



Travel time		Destination Node						
		1	2	3	4	5	6	2-5
Origin Node	1		0.5	0.1	0.8	0.8	0.3	0.5
	2	1.0		0.3	1.1	0.8	0.2	
	3	1.2	1.2		0.5	1.0	0.6	1.2
	4	0.8	1.5	0.7		1.4	1.1	1.5
	5	0.8	0.6	1.2	0.9		0.6	0.6
	2-5	0.8	0.6	1.2	0.9		0.6	

# Modeling Approach:

- Core model:
  - Household activity pattern generator (Recker, 1995)
- Upper Level Model:
  - Fleet Sizing-Routing-Scheduling Model
- Solution Approach:
  - Benders' Decomposition (Sexton et al, 1985, 1986, Saharidis and Ierapetritou 2009)

# Model formulation:

## Objective function:

$$\text{Min } Z = \alpha_1 \sum_{v \in V} f_v \sum_{j \in N} X_{0j}^v + \alpha_2 \sum_{v \in V} \sum_{i \in N} \sum_{j \in N} c_{ij} X_{ij}^v + \alpha_3 \sum_{v \in V} \sum_{i \in N} (T_j^v - T_i^v - t_{ij}) X_{ij}^v$$

## Terms:

- Vehicle acquisition cost
- Total travel cost
- Total idle time of vehicles

# Model formulation:

## Constraints:

$$\sum_{v \in V} \sum_{j \in N} X_{ij}^v = Y_i, \quad \forall i = 1, \dots, n \quad \longrightarrow \quad \text{Node will be visited if a request submitted on it}$$

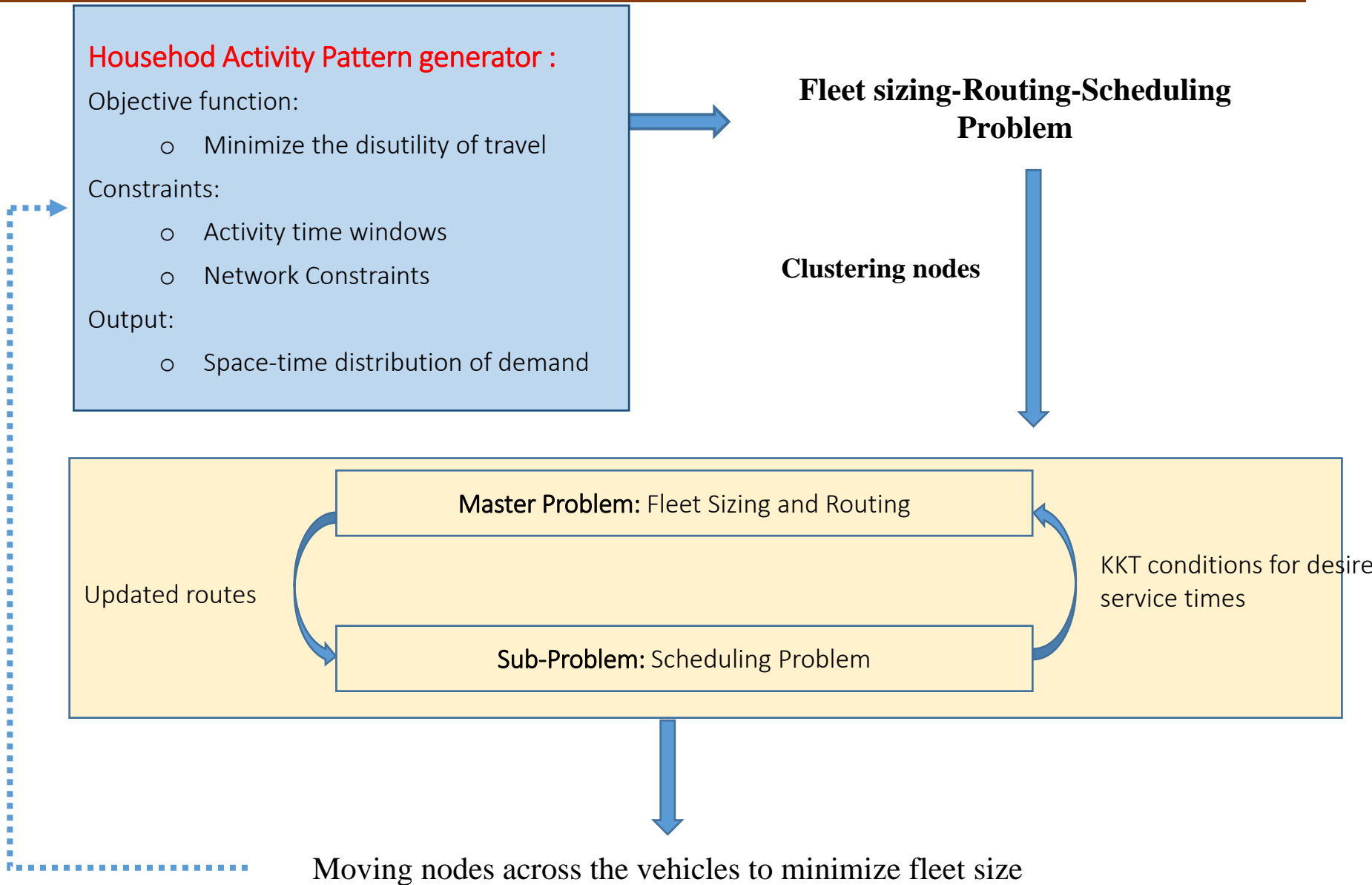
$$\sum_{j \in N} X_{0j}^v - \sum_{j \in N} X_{j,n+1}^v = 0, \quad \forall v \in V \quad \longrightarrow \quad \text{Vehicles should return to depot at the end of the service}$$

$$\sum_{j \in N} X_{ji}^v - \sum_{j \in N} X_{ij}^v = 0, \quad \forall i = 1, \dots, n; v \in V \quad \longrightarrow \quad \text{Network connectivity}$$

$$T_j^v - T_i^v - t_{ij} \geq (1 - X_{ij}^v)M, \quad \forall i, j \in N, v \in V \quad \longrightarrow \quad \text{Time of the visit is adjusted based on tour information}$$

$$X_{ij}^v \in \{0, 1\}; Y_i \text{ integer}; T_i^v, TD_i \geq 0$$

$$\{Y_i, TD_i, L_i, P_i\} : \operatorname{argmin} \{HAPP(p; T)\}, \quad \forall i = 1, \dots, n$$





**Househod Activity Pattern generator :**

Objective function:

- Minimize the disutility of travel

Constraints:

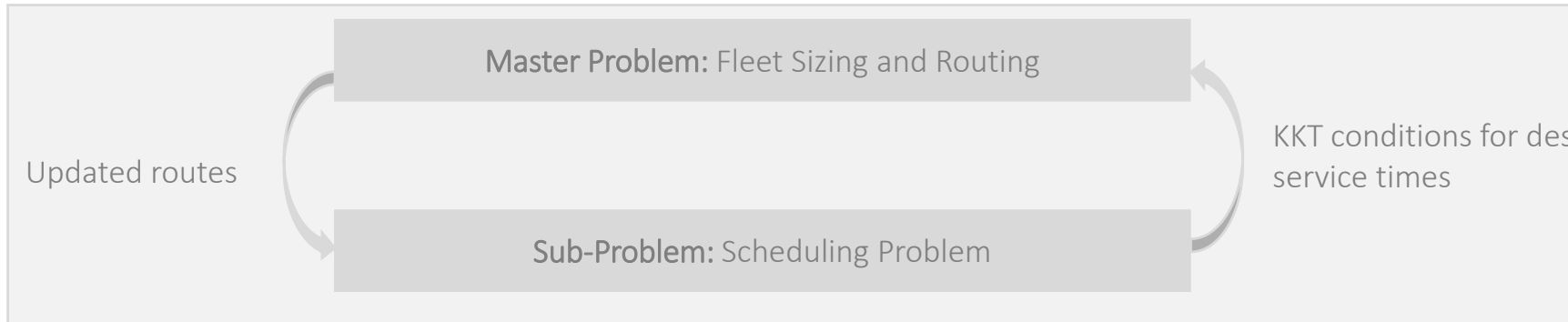
- Activity time windows
- Network Constraints

Output:

- Space-time distribution of demand

**Fleet sizing-Routing-Scheduling Problem**

**Clustering nodes**



Moving nodes across the vehicles to minimize fleet size

# Household Activity Pattern Generator

$$\text{Min } Z = \sum_{v \in V} \sum_{u \in N} \sum_{w \in N} t_{uw}^v X_{uw}^v$$

$$\sum_v \sum_w (X_{uw}^v) = 1, \forall u \in P^+, v \in V, w \in P^-$$

$$\sum_w X_{uw}^v - \sum_w X_{wu}^v = 0, \forall u \in P, v \in V, w \in P$$

$$\sum_w X_{0w}^v = 1, \forall v \in V, w \in P^+$$

$$\sum_v \sum_w X_{0w}^v > 0, \forall v \in V, w \in P^+$$

$$\sum_u X_{u,2n+1}^v - \sum_w X_{0w}^v = 0, \forall v \in V, w \in P^+, u \in P^-$$

$$\sum_u X_{uw}^v - \sum_w X_{w,n+u}^v = 0, \forall u \in P^+, v \in V, w \in P$$

$$\sum_w X_{0,w}^v = 0, \forall v \in V, w \in P^-, \sum_u X_{u,0}^v = 0, \forall v \in V, u \in P$$

$$\sum_u X_{u,2n+1}^v = 0, \forall v \in V, u \in P^+, \sum_w X_{2n+1,w}^v = 0, \forall v \in V, w \in P^-$$

$$T_u + S_u + t_{u,n+u} - T_w \leq T_{n+u}, \forall u \in P^+$$

$$T_u + S_u + t_{uw} - T_w \leq (1 - X_{uw}^v)M, \forall u, w \in P, \forall v \in V$$

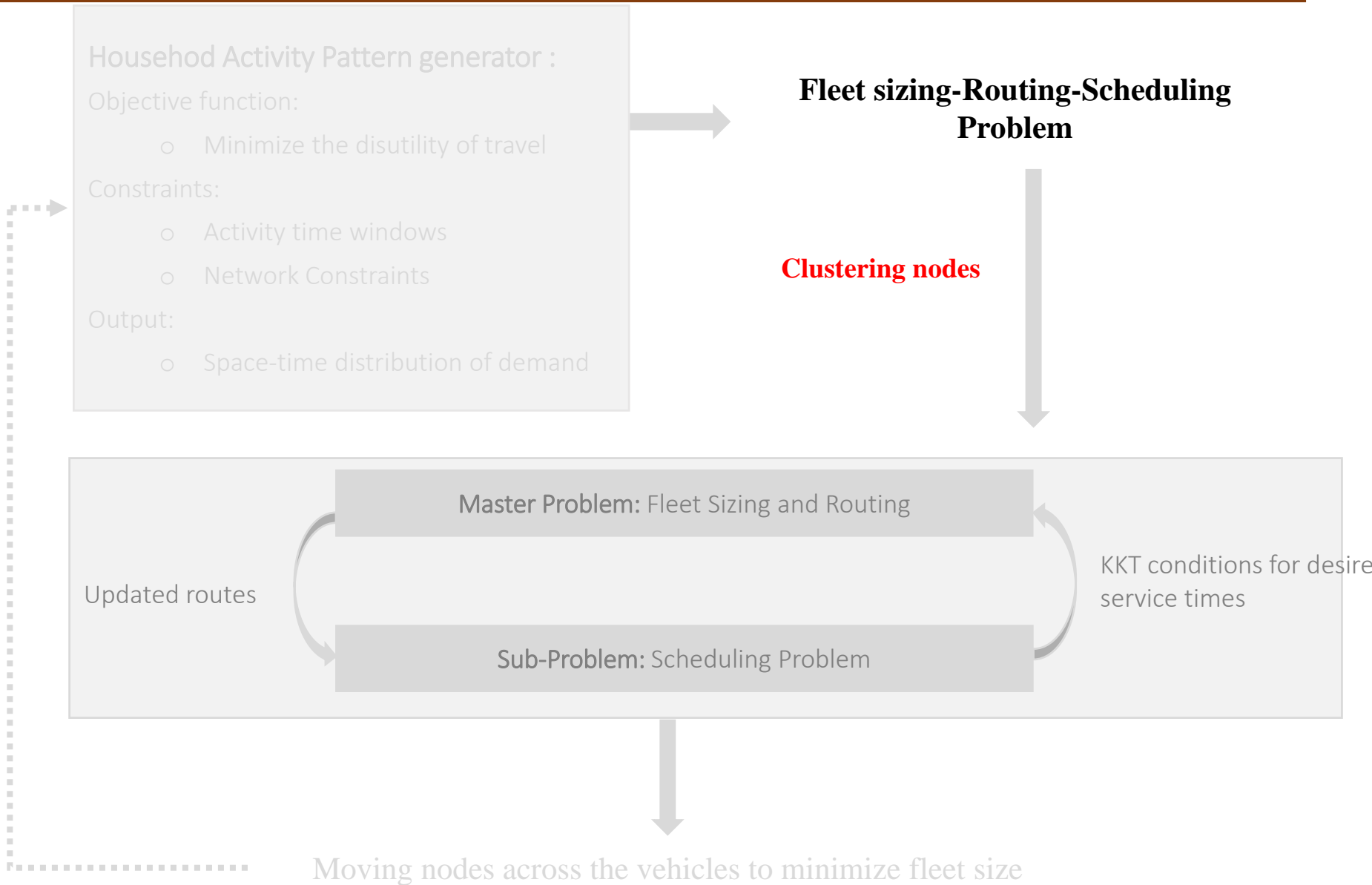
$$T_0^v + t_{0w} - T_w \leq (1 - X_{0w}^v)M, \forall w \in P^+, \forall v \in V$$

$$T_u + S_u + t_{u,2n+1} - T_{2n+1}^v \leq (1 - X_{u,2n+1}^v)M, \forall v \in V, u \in P^-$$

$$T_u + S_u + t_{u,2n+1} - T_{2n+1}^v \leq (1 - X_{u,2n+1}^v)M, \forall v \in V, u \in P^-$$

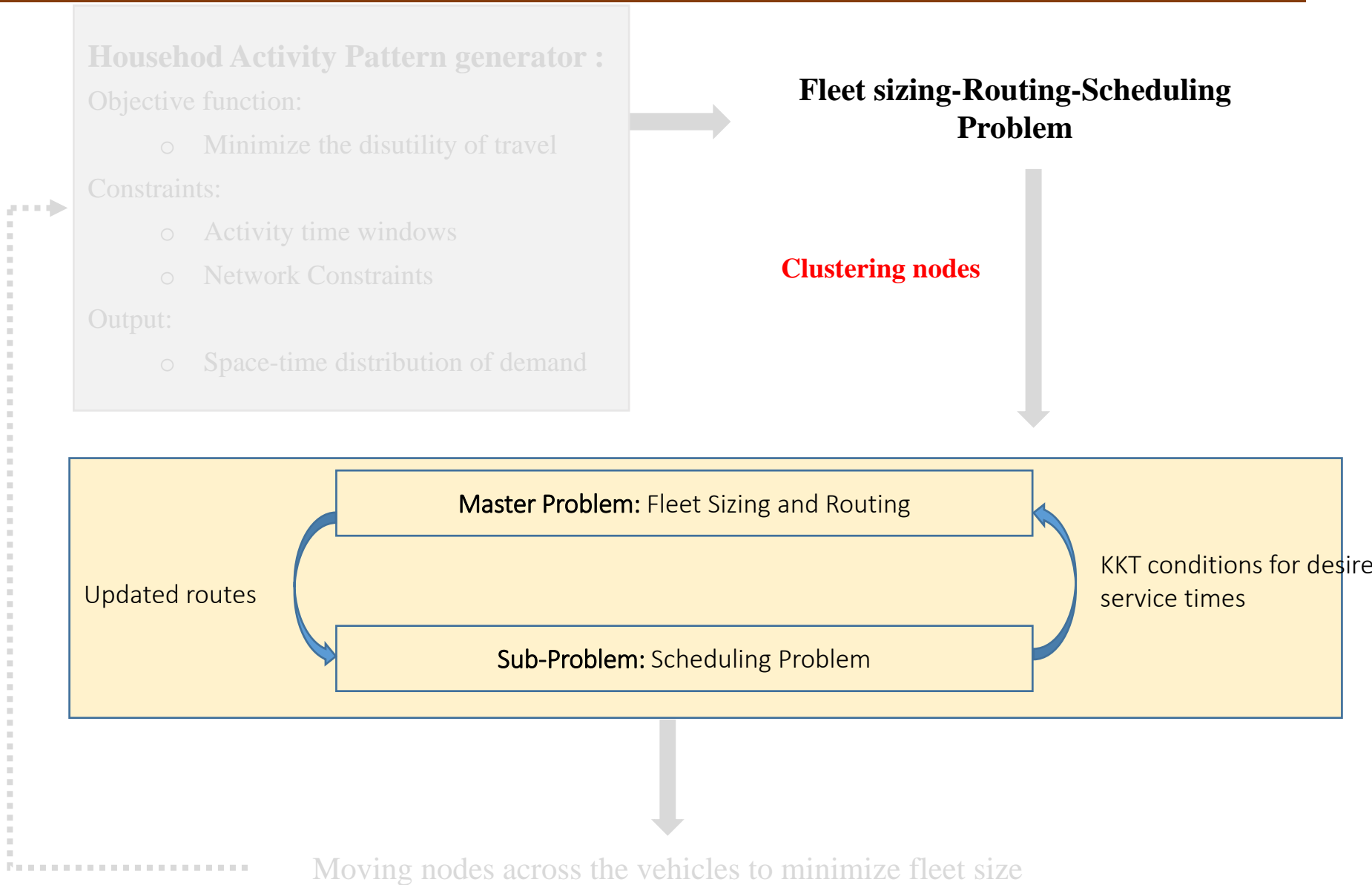
$$a_u \leq T_u \leq b_u$$

$$T_{u \in N} \geq 0, X \text{ is binary.}$$



# Fleet sizing-Routing-Scheduling Problem

- Clustering Nodes:
  - Spatial considerations
  - Requested service time constraints



# Fleet sizing-Routing-Scheduling Problem

Step 0: Initial Routing

Step 1: Solving sub-problem (scheduling problem) given routes

Step 2: Solving Master Problem (Routing Problem) given KKT conditions of sub-problem

Step3: Iterate step 1 and 2 until convergence is occurred

Step 4: Move nodes visited in short tours across to other vehicles to reduce fleet size

Step5: Change the schedule of some activities of HHLDs and compute the corresponding disutility caused for the households and its impact on reducing fleet size.

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# Fleet sizing-Routing-Scheduling Problem

## Step 0: Initial Routing

For every node, find all the feasible preceding nodes based on request time:

$\forall j \in \text{Nodes}$ :

$\forall i \in \text{Nodes}, i \neq j$ :

if  $T_i \geq T_j$ : add  $i$  to the  $\{\text{Preced}_j\}$

Generate set of feasible routes:

$route_m = \{j, i \in \text{Preced}_j, k \in \text{Preced}_i, \dots\}$

Every node should be visited at least **once**.



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# Fleet sizing-Routing-Scheduling Problem

Step 1: Solving sub-problem (scheduling problem) given routes

$$\text{Min } S = \sum_{v \in V} \sum_{i \in N} \sum_{j \in N} (T_j^v - T_i^v - t_{ij}) X_{ij}^v + \sum_{v \in V} \sum_{i \in N} d_i^{+,v} + \sum_{v \in V} \sum_{i \in N} d_i^{-,v}$$

Output of routing model

$$d_i^{+,v} \geq (T_i^v - TD_i), \forall i \in N, v \in V$$

$$d_i^{-,v} \geq (TD_i - T_i^v), \forall i \in N, v \in V$$

Desired service time requested by user

$$T_j^v \geq T_i^v + t_{ij} - (1 - X_{ij}^v) M, \forall i, j \in N, v \in V$$

$$T_i^v, d_i^{-,v}, d_i^{+,v} \geq 0, \forall i \in N, v \in V$$

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# Fleet sizing-Routing-Scheduling Problem

Step 2: Solving Master Problem (Routing Problem) given KKT conditions of sub-problem

$$\text{Min } Z = \alpha_1 \sum f_v \sum X_{0j}^v + \alpha_2 \sum \sum c_{ij} X_{ij}^v + \alpha_3 \sum \sum \gamma_i^v X_{ij}^v + \alpha_4 \sum \sum_{v \in V, i, j \in N} |X_{ij}^v - X_{(iter-1),ij}^v|$$

KKT conditions of Scheduling model

$$\sum_{j \in N} X_{0j}^v - \sum_{j \in N} X_{j,n+1}^v = 0, \quad \forall v \in V$$

$$\sum_{v \in V} \sum_{j \in N} X_{ij}^v - \sum_{v \in V} \sum_{j \in N} X_{ij}^v = 0, \quad \forall i = 1, \dots, n, v \in V$$

$$\sum_{v \in V} \sum_{j \in N} X_{ij}^v = Y_i, \quad \forall i \in N$$

Output of pattern generator

$$X_{j0}^v = 0, \quad \forall j \in N, X_{n+1,j}^v = 0, \quad \forall j \in N, X_{ij}^v \in \{0,1\};$$

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Step5: Change the schedule of some activities of HHLDs and compute the corresponding disutility caused for the households and its impact on reducing fleet size.

# Fleet sizing-Routing-Scheduling Problem

Step 4: Move nodes visited in short tours across to other vehicles to reduce fleet size

$\forall j \in \text{Tours}$ :

$\forall \text{length}(\text{tour}_j < \text{minsize})$ :

$\forall \text{node } 'k' \in \text{tour}_j, t = T_k$ :

*Check the time windows constraints in other tours:*

*Adding node in  $\text{tour}_i, i \neq j$ , violates the time windows constraint:*

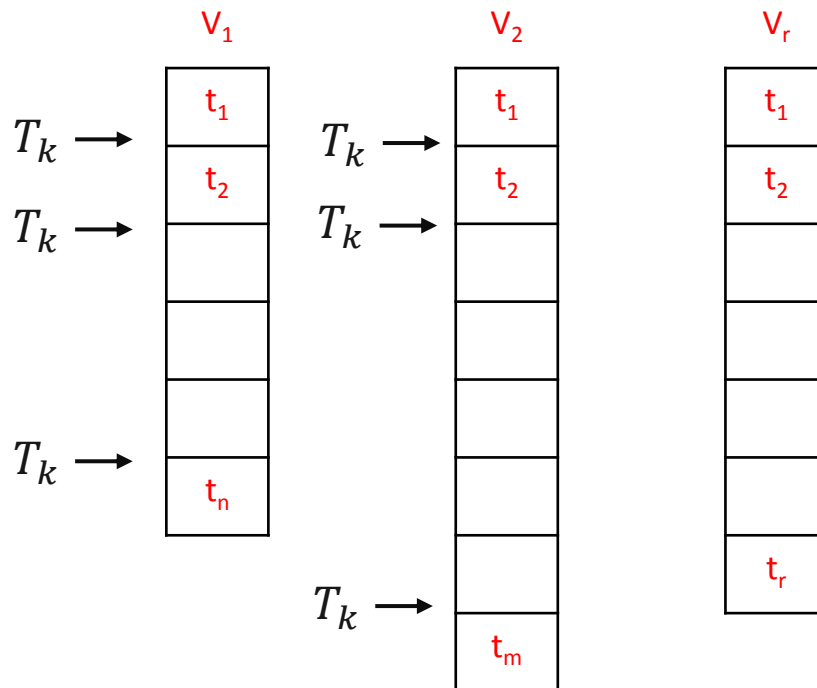
*move to the other tour*

*Adding node in  $\text{tour}_i, i \neq j$ , does not violates the TW constraint:*

*add node 'k' to  $\text{tour}_i$*

# Fleet sizing-Routing-Scheduling Problem

Step 4: Move nodes visited in short tours across to other vehicles to reduce fleet size





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# Household Activity Pattern Generator

$$\text{Min } Z = \sum_{v \in V} \sum_{u \in N} \sum_{w \in N} t_{uw}^v X_{uw}^v + \sum_{u \in P^+} |T_u - T_D|$$

$$\sum_v \sum_w (X_{uw}^v) = 1, \forall u \in P^+, v \in V, w \in P^-$$

$$\sum_w X_{uw}^v - \sum_w X_{wu}^v = 0, \forall u \in P, v \in V, w \in P$$

$$\sum_w X_{0w}^v = 1, \forall v \in V, w \in P^+$$

$$\sum_v \sum_w X_{0w}^v > 0, \forall v \in V, w \in P^+$$

$$\sum_u X_{u,2n+1}^v - \sum_w X_{0w}^v = 0, \forall v \in V, w \in P^+, u \in P^-$$

$$\sum_u X_{uw}^v - \sum_w X_{w,n+u}^v = 0, \forall u \in P^+, v \in V, w \in P$$

$$\sum_w X_{0,w}^v = 0, \forall v \in V, w \in P^-, \sum_u X_{u,0}^v = 0, \forall v \in V, u \in P$$

$$\sum_u X_{u,2n+1}^v = 0, \forall v \in V, u \in P^+, \sum_w X_{2n+1,w}^v = 0, \forall v \in V, w \in P^-$$

$$T_u + S_u + t_{u,n+u} - T_w \leq T_{n+u}, \forall u \in P^+$$

$$T_u + S_u + t_{uw} - T_w \leq (1 - X_{uw}^v)M, \forall u, w \in P, \forall v \in V$$

$$T_0^v + t_{0w} - T_w \leq (1 - X_{0w}^v)M, \forall w \in P^+, \forall v \in V$$

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$$T_u + S_u + t_{u,2n+1} - T_{2n+1}^v \leq (1 - X_{u,2n+1}^v)M, \forall v \in V, u \in P^-$$

$$T_u = T_{adj}, u \in \text{conflicting node}$$

$$a_u \leq T_u \leq b_u$$

$$T_{u \in N} \geq 0, X \text{ is binary.}$$

# Numerical Experiments

- Case 1: 4 individuals, 20 activities

<i>Individual ID</i>	<i>Home_ID</i>	<i>Visited Nodes ID</i>	<i>Time Windows</i>	<i>Duration (hours)</i>
<i>Ind 1</i>	1	2	[6:00,24:00]	0.5
		3	[8:00,9:00]	7
		4	[6:00,24:00]	2
		5	[16:00,17:00]	0.5
<i>Ind 2</i>	6	7	[12:00,13:00]	1.5
		8	[6:00,24:00]	2
		9	[6:00,24:00]	1
		10	[6:00,24:00]	2
<i>Ind 3</i>	11	12	[08:00, 10:00]	6
		13	[6:00,24:00]	2
		14	[18:00,19:00]	1
		15	[6:00,20:00]	1
<i>Ind 4</i>	16	17	[6:00,20:00]	0.5
		18	[7:00, 9:00]	2
		19	[10:00,12:00]	6
		20	[12:00, 24:00]	1

# Numerical Experiments

Travel time	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
1		0.54	0.11	0.84	0.78	0.30	0.76	0.64	0.93	0.64	0.98	0.50	0.44	0.57	0.34	1.01	0.55	0.34	0.51	0.79
2	1.01		0.31	1.01	0.83	0.21	1.09	0.70	0.73	0.80	0.95	1.04	0.57	0.27	0.92	0.52	1.03	0.56	1.02	0.37
3	1.19	1.19		0.48	1.01	0.60	1.15	0.96	0.67	1.34	1.18	1.31	0.49	0.60	1.16	1.12	1.20	0.92	0.85	1.03
4	0.82	1.57	0.73		1.42	1.12	1.07	1.67	1.09	1.13	0.84	1.01	1.00	1.58	0.93	1.00	1.10	1.39	0.83	1.56
5	0.78	0.56	1.23	0.96		0.60	0.59	0.90	0.81	1.11	0.44	1.22	1.05	0.51	1.23	0.57	0.98	0.92	0.54	0.38
6	1.06	0.44	0.48	1.00	0.48		0.65	0.99	0.52	0.83	0.77	0.59	0.61	1.09	0.22	0.42	0.59	0.73	1.02	0.61
7	1.40	0.73	1.47	0.68	0.94	1.18		1.25	1.23	1.02	0.72	1.34	0.83	1.24	1.44	0.67	1.56	0.62	1.42	1.42
8	1.74	1.56	1.41	1.19	1.59	1.27	1.46		1.73	1.79	1.83	1.70	0.89	0.89	0.98	1.15	0.91	1.31	1.23	1.43
9	0.52	0.83	0.55	0.95	0.55	0.58	0.57	0.32		0.15	0.97	0.32	0.95	0.80	0.96	1.02	0.23	0.48	1.07	0.73
10	1.33	0.92	0.45	1.06	0.66	0.96	1.16	1.04	1.02		0.68	0.86	0.44	1.04	1.39	0.53	0.47	1.32	0.68	0.44
11	0.13	0.89	0.06	0.93	0.93	0.56	0.16	0.21	0.74	0.87		0.79	0.99	0.38	0.67	0.38	0.25	0.82	0.76	0.31
12	0.79	0.62	0.30	0.23	0.69	0.48	0.54	0.49	0.37	0.60	0.90		0.87	0.65	0.64	0.38	0.40	0.82	0.47	0.76
13	1.80	1.38	1.67	1.23	1.29	1.65	1.82	1.35	1.75	1.56	1.39	1.79		1.78	1.47	1.20	1.30	1.74	0.84	0.98
14	0.80	1.29	1.69	1.07	1.55	1.35	1.54	1.55	0.80	1.03	1.40	1.24	1.72		1.32	1.05	1.00	1.64	1.20	1.56
15	1.32	1.09	1.06	1.34	1.16	0.99	1.33	0.44	0.65	1.37	1.15	0.86	1.06	0.80		0.59	0.76	0.41	0.85	0.71
16	0.91	0.96	0.28	0.14	0.53	0.89	0.53	0.47	0.56	0.18	0.19	0.93	0.66	0.32	0.92		0.52	0.78	0.40	0.46
17	1.43	1.79	1.68	0.97	1.18	1.28	1.76	1.32	1.14	1.50	1.38	1.80	1.83	1.72	1.61	1.64		0.96	1.24	0.90
18	1.18	0.86	0.71	0.58	0.88	0.64	0.42	0.43	0.42	0.76	0.47	0.79	1.25	0.70	0.47	0.92	1.22		0.39	0.49
19	0.79	1.46	1.17	1.38	1.41	1.29	1.34	1.59	1.38	1.59	1.18	0.86	0.78	1.43	1.02	1.39	1.37	1.25		1.22
20	0.59	0.66	0.18	0.78	1.01	0.23	0.56	0.40	1.11	0.73	0.37	0.25	0.67	0.95	0.96	0.95	0.32	0.67	1.00	

# Numerical Experiments

Requested service time: output of pattern generator

<i>Node ID</i>	<i>Time requested</i>	<i>Node ID</i>	<i>Time requested</i>
16	6:50	13	16:20
11	7:57	10	16:57
1	8:24	19	17:25
17	8:35	15	17:29
18	10:55	9	17:49
6	13:00	4	18:35
12	14:03	20	19:10
7	15:00	14	19:17
3	15:37	2	20:10
5	16:14	8	20:33

# Numerical Experiments

- Vehicle allocation without feedback to pattern generator:

<b>Vehicle 1</b>	
<b>Node ID</b>	<b>Service time</b>
16	6:50
17	8:35
18	10:55
6	13:00
12	14:03
7	15:00
5	16:14
19	17:25
20	19:10
2	20:10

<b>Vehicle 2</b>	
<b>Node ID</b>	<b>Service time</b>
11	7:57
3	15:37
10	16:57
4	18:35
8	20:33

<b>Vehicle 3</b>	
<b>Node ID</b>	<b>Service time</b>
1	8:24
15	17:29
14	19:17

<b>Vehicle 4</b>	
<b>Node ID</b>	<b>Service time</b>
13	16:20
9	17:49

# Numerical Experiments

- Vehicle allocation with feedback to pattern generator:

<i>Vehicle 1</i>	
<i>Node ID</i>	<i>Service time</i>
16	6:48
1	8:17
17	8:35
18	10:56
6	13:00
12	14:03
7	15:00
5	16:14
19	17:25
20	19:10
14	19:33
2	20:10

<i>Vehicle 2</i>	
<i>Node ID</i>	<i>Service time</i>
11	7:57
3	15:38
13	16:54
10	16:58
15	18:03
9	18:24
4	18:35
8	20:33

# Numerical Experiments

- Comparison:

<b>Vehicle 1</b>	
<b>Node ID</b>	<b>Service time</b>
16	6:48
1	8:17
17	8:35
18	10:56
6	13:00
12	14:03
7	15:00
5	16:14
19	17:25
20	19:10
14	19:33
2	20:10

<b>Vehicle 2</b>	
<b>Node ID</b>	<b>Service time</b>
11	7:57
3	15:38
13	16:54
10	16:58
15	18:03
9	18:24
4	18:35
8	20:33

<b>Vehicle 1</b>	
<b>Node ID</b>	<b>Service time</b>
16	6:50
17	8:35
18	10:55
6	13:00
12	14:03
7	15:00
5	16:14
19	17:25
20	19:10
2	20:10

<b>Vehicle 2</b>	
<b>Node ID</b>	<b>Service time</b>
11	7:57
3	15:37
10	16:57
4	18:35
8	20:33

<b>Vehicle 3</b>	
<b>Node ID</b>	<b>Service time</b>
1	8:24
15	17:29
14	19:17

<b>Vehicle 4</b>	
<b>Node ID</b>	<b>Service time</b>
13	16:20
9	17:49



# Numerical Experiments

- Case 2: (A test on scalability)

- Data:

- *MTA travel survey data, 2008*

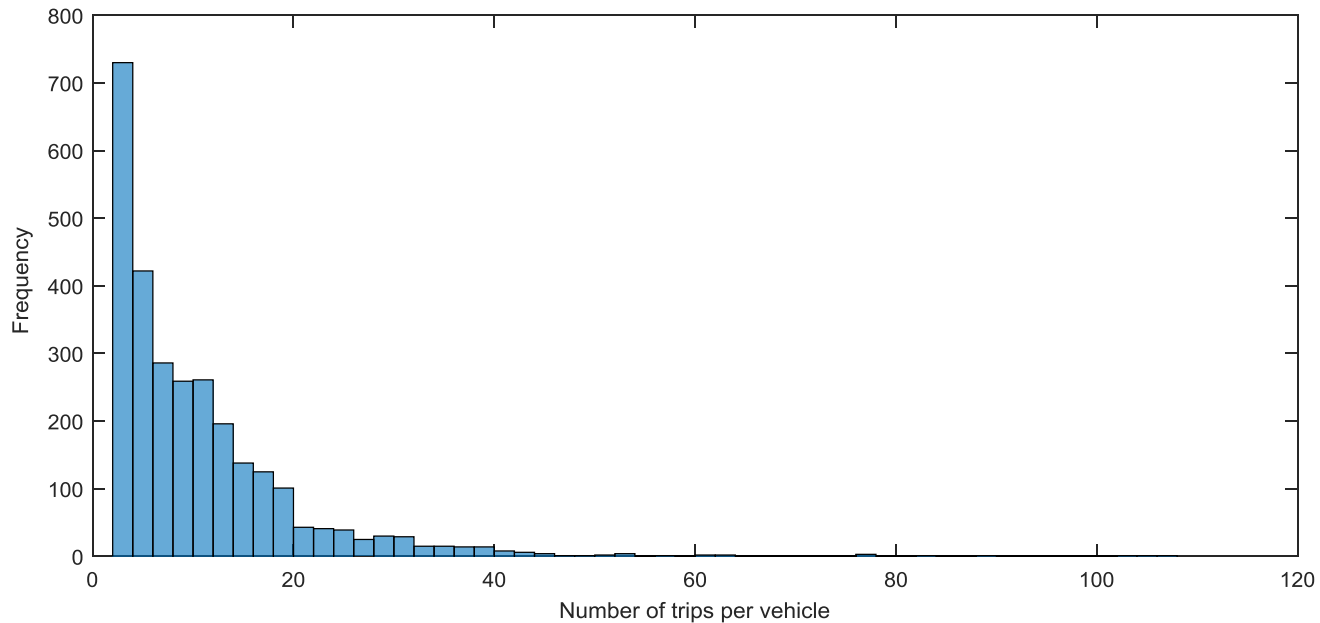
- # of individuals: 12158
      - # of trips: 36921
      - Average number of trips/person: 3.04
      - Average trip duration: 23.91 min
      - Highest % of age group surveyed: 30-36
      - Highest average income group surveyed : 42-52K
      - Females: 60%
      - Average HHLd size: 2.52
      - Average Vehicle in HHLd: 0.67

- *NYMTC household travel survey data, 2010-2011.*

- # of individuals: 8904
      - # of trips: 31305
      - Average number of trips/person 3.52
      - Average trip duration: 32.26 min
      - Highest % of age group surveyed : 26-31
      - Highest average income group surveyed : 38-52k
      - Females: 55%
      - Average HHLd size: 2.11
      - Average Vehicle in HHLd: 0.73

# Numerical Experiments

- Case 2: (A test on Scalability Test)
  - For this analysis:
    - Number of observation:
      - 25543 daily trip observations
      - Study region: Manhattan Borough
      - Fleet size: 2092 (12.67 trips/vehicle)



# Numerical Experiments

- Case 2: (A test on Scalability Test)
  - For this analysis:
    - Number of observation:
      - 25543 daily trip observations
      - Study region: Manhattan Borough
      - Fleet size: 2092 (12.67 trips/vehicle)
    - Computation details:
      - Software: Matlab
      - Number of cores: 12 cores
      - CPU: 2.66 GHZ
      - 86 minutes

## Future Extensions:

- Incorporate adjustments to the itineraries for large scale and improve computation efficiency;
- Extension to a more general case: models with ride-sharing or para-transit modes;
- Incorporate household preferences over different types of vehicles.

Thank you 😊

Questions?

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